

General information about company	
Scrip code	530557
NSE Symbol	
MSEI Symbol	
ISIN	INE132F01020
Name of the entity	NCL RESEARCH & FINANCIAL SERVICES LTD
Date of start of financial year	01-04-2018
Date of end of financial year	31-03-2019
Reporting Quarter	Quarterly
Date of Report	31-12-2018
Risk management committee	Not Applicable

Annexure I															
Annexure I to be submitted by listed entity on quarterly basis															
I. Composition of Board of Directors															
Disclosure of notes on composition of board of directors explanatory															
Is there any change in information of board of directors compare to previous quarter												Yes			
Wether the listed entity has a Regular Chairperson												Yes			
Sr	Title (Mr/ Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Vijay Jaideo Poddar	AACPP8853C	00339268	Executive Director	Not Applicable	CEO-MD	30-01-2008	26-11-2018		0	0	0		
2	Mr	Goutam Bose	AQZPB5905C	02504803	Executive Director	Chairperson	CEO-MD	26-11-2018			1	2	0		
3	Mr	Laxmi Narayan Sharma	AJVPS7669F	00356855	Non-Executive - Independent Director	Not Applicable		25-07-2009		49	6	7	5		
4	Mrs	Puspa Devi Saraswat	ALXPS9503L	05165143	Non-Executive - Independent Director	Not Applicable		27-03-2015		43	2	0	0		

Annexure I to be submitted by listed entity on quarterly basis															
I. Composition of Board of Directors															
Disclosure of notes on composition of board of directors explanatory															
Sr	Title (Mr/ Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of appointment in the current term	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mrs	Amita Bose	DDMPB1306N	08282967	Non-Executive - Independent Director	Not Applicable		26-11-2018		1	1	2	0		

<b>Annexure 1</b>	
<b>II. Composition of Committees</b>	
Disclosure of notes on composition of committees explanatory	
Is there any change in information of committees compare to previous quarter	Yes

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02504803	Goutam Bose	Executive Director	Member	26-11-2018		Textual Information(1)
2	00356855	Laxmi Narayan Sharma	Non-Executive - Independent Director	Chairperson	25-07-2009		
3	00339268	Vijay Jaideo Poddar	Executive Director	Member	30-01-2008	26-11-2018	Textual Information(2)
4	08282967	Amita Bose	Non-Executive - Independent Director	Member	26-11-2018		

<b>Sr Text Block</b>	
Textual Information(1)	Mr. Gotam Bose has been appointed as Member of the Audit Committee after tendering resignation as Chairman of the Audit Committee w.e.f. 26/11/2018. Earlier Mr. Goutam Bose was Independent Director of the Company and due to re-shuffling of Directorship, he has been appointed as Executive Director of the Company.
Textual Information(2)	Mr. Vijay Jaideo Poddar has resigned from Board and Audit Committee w.e.f. 26th November, 2018.

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02504803	Goutam Bose	Executive Director	Member	27-05-2014	26-11-2018	Textual Information(1)
2	00356855	Laxmi Narayan Sharma	Non-Executive - Independent Director	Chairperson	27-05-2014		
3	05165143	Puspa Devi Saraswat	Non-Executive - Independent Director	Member	27-03-2015		
4	08282967	Amita Bose	Non-Executive - Independent Director	Member	26-11-2018		

<b>Sr Text Block</b>	
Textual Information(1)	Mr. Goutam Bose has resigned from N&R Committeee w.e.f. 26/11/2018.



Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02504803	Goutam Bose	Executive Director	Member	25-07-2009		
2	00356855	Laxmi Narayan Sharma	Non-Executive - Independent Director	Chairperson	25-07-2009		
3	00339268	Vijay Jaideo Poddar	Executive Director	Member	30-01-2008	26-11-2018	Textual Information(1)
4	08282967	Amita Bose	Non-Executive - Independent Director	Member	26-11-2018		

<b>Sr Text Block</b>	
Textual Information(1)	Mr. Vijay Jaideo Poddar has resigned from Board and Stakeholders' Relationship Committee w.e.f. 26th November, 2018.

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					No		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1			
Annexure 1			
III. Meeting of Board of Directors			
Disclosure of notes on meeting of board of directors explanatory			
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)
1	25-09-2018		
2		31-10-2018	35
3		26-11-2018	25

Annexure 1							
IV. Meeting of Committees							
Disclosure of notes on meeting of committees explanatory							
Sr	Name of Committee	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Requirement of Quorum met	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)	Name of other committee
1	Audit Committee	31-10-2018	Yes	3	25-09-2018	35	
2	Stakeholders Relationship Committee	11-10-2018	Yes	3	18-07-2018	84	
3	Nomination and remuneration committee	26-11-2018	Yes	3	25-09-2018	61	

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	



Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 100 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Goutam Bose
2	Designation	Managing Director

<b>Signatory Details</b>	
Name of signatory	Goutam Bose
Designation of person	Managing Director
Place	Mumbai
Date	10-01-2019

