

(I)(a) Statement showing Shareholding Pattern

Name of the Company : NCL RESEARCH & FINANCIAL SERVICES LIMITED			
Name of the scrip : NCLRESE			
Scrip Code : 530557		Class of Security : Equity Shares	
Period ended: 31st March 2012			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company
Held by promoter/promoters group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Outstanding convertible securities:-	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/ promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Total paid-up capital of the company assuming full conversion of warrants and convertible securities	4,600,000		

(l)(a) Statement showing Shareholding Pattern under Clause 35 of the Listing Agreement

Name of the Company:		NCL RESEARCH & FINANCIAL SERVICES LIMITED						
Scrip Code : 530557		Quarter ended:			31st March 2012			
Sr. No.	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of Shares	As a Percentage
(A)	*Shareholding of Promoter and Promoter Group						(VIII)	(IX) = (VIII) / (VI) * 100
-1	Indian							
(a)	Individuals/ Hindu Undivided Family	5	332,100	332,100	7.22%	7.22%		
(b)	Central Government/ State Government(s)	-	-	-	0.00%	0.00%	-	-
(c)	Bodies Corporate	2	251,300	251,300	5.46%	5.46%	-	-
(d)	Financial Institutions/ Banks	-	-	-	0.00%	0.00%	-	-
(e)	Any Other (specify)	-	-	-	0.00%	0.00%	-	-
	Sub-Total (A)(1)	7	583,400	583,400	12.68%	12.68%	-	-
-2	Foreign				0.00%	0.00%	-	-
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	0.00%	0.00%	-	-
(b)	Bodies Corporate	-	-	-	0.00%	0.00%	-	-
(c)	Institutions	-	-	-	0.00%	0.00%	-	-
(d)	Any Other (specify)	-	-	-	0.00%	0.00%	-	-
	Sub-Total (A)(2)	-	-	-	0.00%	0.00%	-	-
	*Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	7	583,400	583,400	12.68%	12.68%	-	-
(B)	Public shareholding				0.00%	0.00%	N.A.	N.A.
-1	Institutions				0.00%	0.00%	N.A.	N.A.
(a)	Mutual Funds/ UTI	-	-	-	0.00%	0.00%		
(b)	Financial Institutions/ Banks	-	-	-	0.00%	0.00%		
(c)	Central Government/ State Government(s)	-	-	-	0.00%	0.00%		
(d)	Venture Capital Funds	-	-	-	0.00%	0.00%		
(e)	Insurance Companies	-	-	-	0.00%	0.00%		
(f)	Foreign Institutional Investors	-	-	-	0.00%	0.00%		
(g)	Foreign Venture Capital Investors	-	-	-	0.00%	0.00%		
(h)	Qualified Foreign Investors	-	-	-	0.00%	0.00%		
(i)	Any Other (specify)	-	-	-	0.00%	0.00%		
	Sub-Total (B)(1)	-	-	-	0.00%	0.00%		
-2	Non-institutions				0.00%	0.00%		
(a)	Bodies Corporate	66	317,576	317,576	6.90%	6.90%	N.A.	N.A.
(b)	Individuals -				0.00%	0.00%		
i.	Individual shareholders holding nominal share capital up to Rs. 1 lakh.	658	1,468,730	1,464,730	31.93%	31.93%		
ii.	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	96	2,230,294	2,140,294	48.48%	48.48%		
(c)	Any Other (HUF)	-	-	-	0.00%	0.00%		
	Sub-Total (B)(2)	820	4,016,600	3,922,600	87.32%	87.32%		
	Total Public Shareholding (B) = (B)(1)+(B)(2)	820	4,016,600	3,922,600	87.32%	87.32%	N.A.	N.A.
	TOTAL (A)+(B)	827	4,600,000	4,506,000	100.00%	100.00%		
(C)	Shares held by Custodians and against which Depository Receipts have been issued	-	-	-	0.00%	0.00%	N.A.	N.A.
(1)	Promoter and Promoter Group	-	-	-	0.00%	0.00%	-	-
(2)	Public	-	-	-	0.00%	0.00%	N.A.	N.A.
	Sub-Total (C)	-	-	-	0.00%	0.00%		
	GRAND TOTAL (A)+(B)+(C)	827	4,600,000	4,506,000	100.00%	100.00%		

Notes : Not Any

Name of the Company:		NCL RESEARCH & FINANCIAL SERVICES LIMITED										
Scrip Code : 530557								Quarter ended:		31st March 2012		
(I)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"												
Sr. No.	Name of the shareholder	Details of Shares held		Encumbered Shares			Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
		Number of shares	As a % of Grand Total (A)+(B)+(C)	Number	As a Percentage	As a % of Grand Total (A)+(B)+(C) of Sub-Clause (I)(a)	Number of Warrants held	As a % of Total No. of Warrants of the same Class	Number of Convertible Securities held	As a % of Total No. of Convertible Securities of the same Class		
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(XI)	(X)	(XI)	(XII)	
1	Samir Baid	30,300	0.66%	-	-	-	-	-	-	-	0.66%	
2	Inder Chand Baid	33,000	0.72%	-	-	-	-	-	-	-	0.72%	
3	Saroj Baid	33,800	0.73%	-	-	-	-	-	-	-	0.73%	
4	Manish Baid	35,000	0.76%	-	-	-	-	-	-	-	0.76%	
5	Vijay Poddar	200,000	4.35%	-	-	-	-	-	-	-	4.35%	
6	GCM Securities Private Limited	121,800	2.65%	-	-	-	-	-	-	-	2.65%	
7	Global Capital Mkt & Infrastructures Ltd.	129,500	2.82%	-	-	-	-	-	-	-	2.82%	
TOTAL		583,400	12.68%	-	-	-	-	-	-	-	12.68%	

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares.											
Sr. No.	Name of the shareholder	Total Shares Held		Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital			
		Number of shares	As a % of Grand Total (A)+(B)+(C)	Number of Warrants held	As a % of Total No. of Warrants of the same Class	Number of Convertible Securities held	As a % of Total No. of Warrants of the same Class				
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			
1	Fair Deal Equisearch & Realtors Pvt. Ltd.	100,000	2.17%	-	-	-	-	2.17%			
2	Sathyamoorthi Devarajulu	50,000	1.09%	-	-	-	-	1.09%			
3	Deepa Lahoti	50,000	1.09%	-	-	-	-	1.09%			
4	Roychand Chenraj	76,000	1.65%	-	-	-	-	1.65%			
TOTAL		276,000	6.00%	-	-	-	-	6.00%			

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company											
Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Total Shares Held		Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital			
		Number of shares	As a % of Grand Total (A)+(B)+(C)	Number of Warrants held	As a % of Total No. of Warrants of the same Class	Number of Convertible Securities held	As a % of Total No. of Warrants of the same Class				
N.A.		-	0.00%	-	-	-	-	0.00%			

Name of the Company:	NCL RESEARCH & FINANCIAL SERVICES LIMITED		
Scrip Code : 530557	Quarter ended:	31st March 2012	

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A) + (B) + (C) indicated in Statement at para (I)(a) above}
1	Ashok Kumar Goel	25,000	0.54%
2	Yogesh Kumar Goel	25,000	0.54%
3	Yogendra Kumar Goel	25,000	0.54%
4	Vibhore Kumar Goel	25,000	0.54%
5	Smt. Muktaben V Mithani	30,000	0.65%
6	Kuldip Bhargava	30,000	0.65%
7	Tejasvi Bhargava	30,000	0.65%
8	Tapasvi Bhargava	30,000	0.65%
9	Sourabha Banerjee	30,000	0.65%
10	Arun Singhania, Jt. Ashok Singhania, Jt. Naresh Singhania	30,000	0.65%
11	Uma Jai Prakash Agarwal	30,000	0.65%
12	Praveen K. Burud, Jt. Kamlesh K. Burud, Jt. Basantibai Burud	30,000	0.65%
13	Amit Bahrta	30,000	0.65%
14	Bipin Kumar Agarwal	30,000	0.65%
15	Vivek Kumar Singhal	30,000	0.65%
16	Deepak Kumar Singhal	30,000	0.65%
17	Sanjay Agrawal HUF	30,000	0.65%
18	Sachin Goyal HUF	30,000	0.65%
19	Manjari Agarwal	30,000	0.65%
20	Onkarnath Agarwal	30,000	0.65%
21	C Lalith Kumar	20,000	0.43%
22	Pankaj Mehta	20,000	0.43%
23	Nitesh Singh	22,000	0.48%
24	Nitesh Singh HUF	22,000	0.48%
25	Ranjeet Kanunga	20,000	0.43%
26	Satish Kumar Gupta	20,000	0.43%
27	Jinesh Navinchandra Kubadia	20,000	0.43%
28	Brij Bhushan Agarwal	23,000	0.50%
29	Mittu Agarwal	22,000	0.48%
30	Sumitra Devi Agarwal	21,000	0.46%
31	Sheetij Agarwal	24,000	0.52%
32	Subham Agarwal	20,000	0.43%
33	BBA & Sons, HUF	24,000	0.52%
34	Bajrang Lal Agarwal	23,000	0.50%
35	Bina Devi Agarwal	22,000	0.48%
36	Sanjay Agarwal	23,000	0.50%
37	Pooja Agarwal	21,000	0.46%
38	Bajrang Lal Agarwal & Sons HUF	24,000	0.52%
39	Rajan Ashok Agarwal	22,000	0.48%
40	Ajay Shivnath Agarwal	22,000	0.48%
41	Sanjay Shivnath Agarwal	22,000	0.48%
42	Dhanesh Kawar, Jt. Badan Kawar	20,000	0.43%
43	Ritesh Kawar, Jt. Anju Kawar	20,000	0.43%
44	Shweta Vikas Kawar	20,000	0.43%
45	Ankit Kawar, Jt. Kusum Noratmal Kawar	20,000	0.43%
46	Sajjanraj Kawar, Jt. Nikunj Kawar	23,000	0.50%

47	Sunil Kumar Kavar	20,000	0.43%
48	Anil Kavar, Jt. Chetna Kavar	20,000	0.43%
49	Kantaben B Kubadia	20,000	0.43%
TOTAL		1,200,000	26.09%

Name of the Company:	NCL RESEARCH & FINANCIAL SERVICES LIMITED	
Scrip Code: 530557	Quarter ended:	31st March 2012

(II)(a)

Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				
TOTAL				

(II)(b)

Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				
2				
TOTAL				