

General information about company	
Scrip code	530557
NSE Symbol	
MSEI Symbol	
ISIN	INE132F01020
Name of the company	NCL RESEARCH & FINANCIAL SERVICES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	4062500			4062500	2.79	4062500		4062500	2.79
(B)	Public	1521	141362500			141362500	97.21	141362500		141362500	97.21
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1522	145425000			145425000	100	145425000		145425000	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				2.79					4062500
(B)	Public				97.21					126900275
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					130962775

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	1	4062500			4062500	2.79	4062500		4062500	2.79
Sub-Total (A)(1)		1	4062500			4062500	2.79	4062500		4062500	2.79
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	4062500			4062500	2.79	4062500		4062500	2.79
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1323	3270219			3270219	2.25	3270219		3270219	2.25
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	22	8994265			8994265	6.18	8994265		8994265	6.18
(e)	Any Other (specify)	176	129098016			129098016	88.77	129098016		129098016	88.77
Sub-Total (B)(3)		1521	141362500			141362500	97.21	141362500		141362500	97.21
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1521	141362500			141362500	97.21	141362500		141362500	97.21
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		1522	145425000			145425000	100	145425000		145425000	100
Total (A+B+C)		1522	145425000			145425000	100	145425000		145425000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				2.79					4062500
Sub-Total (A) (1)				2.79					4062500
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				2.79					4062500
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))				2.25					3187319
(a(ii))				6.18					7639265
(e)				88.77					116073691
Sub-Total (B) (3)				97.21					126900275
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				97.21					126900275
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100					130962775
Total (A+B+C)				100					130962775

<b>Individuals/Hindu undivided Family</b>		
Searial No.	1	
Name of the Shareholders (I)	Vijay Jaideo Poddar	<a href="#">Click here to go back</a>
PAN (II)	AACPP8853C	Total
No. of fully paid up equity shares held (IV)	4062500	4062500
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4062500	4062500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.79	2.79
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg:X	4062500	4062500
Class eg:y		
Total	4062500	4062500
Total as a % of Total Voting rights	2.79	2.79
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	2.79	2.79
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4062500	4062500
<b>Reason for not providing PAN</b>		
Reason for not providing PAN		
Shareholder type	Promoter	

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>		
Serial No.	1	
Name of the Shareholders (I)	Chhaya Ashok Agrawal	<a href="#">Click here to go back</a>
PAN (II)	AHMPA0421C	Total
No. of fully paid up equity shares held (IV)	1731250	1731250
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1731250	1731250
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.19	1.19
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	1731250	1731250
Class eg:y		
Total	1731250	1731250
Total as a % of Total Voting rights	1.19	1.19
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.19	1.19
<b>Number of Locked in shares (XII)</b>		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1731250	1731250
<b>Reason for not providing PAN</b>		
Reason for not providing PAN		



Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	OTL Minerals Private Limited	Rangan Vincom Private Limited	Petunia Trades Private Limited	Ayaan Commercial Private Limited	Lifewood Tieup Private Limited	Joyguru Commotrade Pvt. Ltd.	Fair Deal Equiresearch And Realtors Private Limited
PAN (II)	AABCO8431B	AAGCR1715E	AAGCP4719E	AAKCA4354Q	AACCL2349A	AAC CJ1455B	AABCF2782J
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	1485855	1501600	1572025	1675000	1871725	2261675	2500000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1485855	1501600	1572025	1675000	1871725	2261675	2500000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.02	1.03	1.08	1.15	1.29	1.56	1.72
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1485855	1501600	1572025	1675000	1871725	2261675	2500000
Class eg:y							
Total	1485855	1501600	1572025	1675000	1871725	2261675	2500000
Total as a % of Total Voting rights	1.02	1.03	1.08	1.15	1.29	1.56	1.72
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII) +(X) As a % of (A+B+C2)	1.02	1.03	1.08	1.15	1.29	1.56	1.72
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1485855	1501600	1572025	0	1497380	2261675	2500000
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)							
Searial No.	8	9	10	11	12	13	14
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	Reachsmart Construction Private Limited	Victor Commodeal Private Limited	Sprint Vanijya Private Limited	Rockers Tradelink Private Limited	Snowhill Deatrade Private Limited	Shivsathi Mercantile Private Limited	Helot Properties Private Limited
PAN (II)	AAGCR4662J	AADCV5991P	AALCS9394K	AAECR2957A	AARCS1012L	AASCS6284R	AACCH8885R
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	2530775	2566950	2615625	2618875	2696625	2740025	2936950
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2530775	2566950	2615625	2618875	2696625	2740025	2936950
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.74	1.77	1.8	1.8	1.85	1.88	2.02
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	2530775	2566950	2615625	2618875	2696625	2740025	2936950
Class eg:y							
Total	2530775	2566950	2615625	2618875	2696625	2740025	2936950
Total as a % of Total Voting rights	1.74	1.77	1.8	1.8	1.85	1.88	2.02
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.74	1.77	1.8	1.8	1.85	1.88	2.02
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2530775	2566950	2615625	2618875	2696625	2740025	2936950
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)							
Searial No.	15	16	17	18	19	20	21
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	Tanaya Vincom Private Limited	Shreehari Vinimay Private Limited	Goodpoint Impex Private Limited	Katyani Commodities Private Limited	Core Commodities Private Limited	Shivmani Vinimay Private Limited	Swadhinata Vintrade Private Limited
PAN (II)	AADCT8361K	AAJCS5620A	AAF CG0606G	AAECK6244R	AAECC2931M	AASCS7406D	AARCS2310P
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	3000000	3204200	3288265	3731575	4481550	4883275	5402175
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3000000	3204200	3288265	3731575	4481550	4883275	5402175
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.06	2.2	2.26	2.57	3.08	3.36	3.71
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	3000000	3204200	3288265	3731575	4481550	4883275	5402175
Class eg:y							
Total	3000000	3204200	3288265	3731575	4481550	4883275	5402175
Total as a % of Total Voting rights	2.06	2.2	2.26	2.57	3.08	3.36	3.71
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	2.06	2.2	2.26	2.57	3.08	3.36	3.71
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	3000000	3204200	3288265	3731575	231550	4883275	5402175
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)							
Searial No.	22	23	24	25	26	27	28
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category
Name of the Shareholders (I)	Looklike Trade Private Limited	Everstrong Vincom Pvt Ltd	Overload Financial Advisory Private Limited	Aryadeep Infrahomes Private Limited	Sankatsathi Agencies Private Limited	Goodshine Dealtrade Private Limited	
PAN (II)	AACCL2013K	AACCE9957B	AABCO6950F	AAKCA4731F	AARCS2968M	AAECG6112A	
No. of the Shareholders (I)	1	1	1	1	1	1	139
No. of fully paid up equity shares held (IV)	5693625	5860414	6292350	6895375	6906075	8256800	29612785
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5693625	5860414	6292350	6895375	6906075	8256800	29612785
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.92	4.03	4.33	4.74	4.75	5.68	20.36
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	5693625	5860414	6292350	6895375	6906075	8256800	29612785
Class eg:y							
Total	5693625	5860414	6292350	6895375	6906075	8256800	29612785
Total as a % of Total Voting rights	3.92	4.03	4.33	4.74	4.75	5.68	20.36
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	3.92	4.03	4.33	4.74	4.75	5.68	20.36
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	5693625	5860414	92350	6895375	6906075	8256800	29087805
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)		
Searial No.	29	
Category	Non-Resident Indian (NRI)	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		<a href="#">Click here to go back</a>
PAN (II)		Total
No. of the Shareholders (I)	10	149
No. of fully paid up equity shares held (IV)	15847	29628632
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	15847	29628632
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	20.37
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	15847	29628632
Class eg:y		
Total	15847	29628632
Total as a % of Total Voting rights	0.01	20.37
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.01	20.37
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	15847	29103652
Reason for not providing PAN		
Reason for not providing PAN		

