

(1)(a) Statement showing Shareholding Pattern

Name of the Company : NCL RESEARCH & FINANCIAL SERVICES LIMITED			
Name of the scrip : NCLRESE			
Scrip Code : 530557		Class of Security : Equity Shares	
Quarter ended: 31st December 2014			
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the Company
Held by promoter/promoters group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Outstanding convertible securities:-	No. of outstanding securities	As a % of total No. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/ promoter group	Nil	Nil	Nil
Held by public	Nil	Nil	Nil
Total	Nil	Nil	Nil
Total paid-up capital of the company assuming full conversion of warrants and convertible securities	145,425,000		



(1)(a) Statement showing Shareholding Pattern under Clause 35 of the Listing Agreement

Name of the Company:		NCL RESEARCH & FINANCIAL SERVICES LIMITED						
Scrip Code : 530557		Quarter ended:			31st December 2014			
Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of Shares	As a Percentage
(A)	*Shareholding of Promoter and Promoter Group						(VIII)	(IX) = (VIII) / (VI) * 100
-1	Indian							
(a)	Individuals/ Hindu Undivided Family	1	4,062,500	4,062,500	2.79%	2.79%		
(b)	Central Government/ State Government(s)	-	-	-	0.00%	0.00%	-	-
(c)	Bodies Corporate	-	-	-	0.00%	0.00%	-	-
(d)	Financial Institutions/ Banks	-	-	-	0.00%	0.00%	-	-
(e)	Any Other (specify)	-	-	-	0.00%	0.00%	-	-
	Sub-Total (A)(1)	1	4,062,500	4,062,500	2.79%	2.79%	-	-
-2	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	0.00%	0.00%	-	-
(b)	Bodies Corporate	-	-	-	0.00%	0.00%	-	-
(c)	Institutions	-	-	-	0.00%	0.00%	-	-
(d)	Qualified Foreign Investor	-	-	-	0.00%	0.00%	-	-
(e)	Any Other (specify)	-	-	-	0.00%	0.00%	-	-
	Sub-Total (A)(2)	-	-	-	0.00%	0.00%	-	-
	*Total Shareholding of Promoter and Promoter Group (A) = (A)(1) + (A)(2)	1	4,062,500	4,062,500	2.79%	2.79%	-	-
(B)	Public shareholding				0.00%	0.00%	N.A.	N.A.
-1	Institutions				0.00%	0.00%	N.A.	N.A.
(a)	Mutual Funds/ UFI	-	-	-	0.00%	0.00%	-	-
(b)	Financial Institutions/ Banks	-	-	-	0.00%	0.00%	-	-
(c)	Central Government/ State Government(s)	-	-	-	0.00%	0.00%	-	-
(d)	Venture Capital Funds	-	-	-	0.00%	0.00%	-	-
(e)	Insurance Companies	-	-	-	0.00%	0.00%	-	-
(f)	Foreign Institutional Investors	-	-	-	0.00%	0.00%	-	-
(g)	Foreign Venture Capital Investors	-	-	-	0.00%	0.00%	-	-
(h)	Qualified Foreign Investors	-	-	-	0.00%	0.00%	-	-
(i)	Any Other (specify)	-	-	-	0.00%	0.00%	-	-
	Sub-Total (B)(1)	-	-	-	0.00%	0.00%	-	-
-2	Non-institutions				0.00%	0.00%		
(a)	Bodies Corporate	171	130,221,548	117,247,223	89.55%	89.55%	N.A.	N.A.
(b)	Individuals -				0.00%	0.00%		
i.	Individual shareholders holding nominal share capital up to Rs. 1 lakh	412	788,427	755,927	0.54%	0.54%		
ii.	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	33	10,351,600	8,996,600	7.12%	7.12%		
(c)	Qualified Foreign Investors	-	-	-	0.00%	0.00%		
(d)	Any Other (Specify) - NRI	5	925	925	0.00%	0.00%		
	Sub-Total (B)(2)	621	141,362,500	127,000,675	97.21%	97.21%		
	Total Public Shareholding (B) = (B)(1) + (B)(2)	621	141,362,500	127,000,675	97.21%	97.21%	N.A.	N.A.
	TOTAL (A) + (B)	622	145,425,000	131,063,175	100.00%	100.00%		
(C)	Shares held by Custodians and against which Depository Receipts have been issued				0.00%	0.00%	N.A.	N.A.
(1)	Promoter and Promoter Group	-	-	-	0.00%	0.00%	-	-
(2)	Public	-	-	-	0.00%	0.00%	N.A.	N.A.
	Sub-Total (C)	-	-	-	0.00%	0.00%		
	GRAND TOTAL (A)+(B)+(C)	622	145,425,000	131,063,175	100.00%	100.00%		

Notes - Not Any



NCL RESEARCH & FINANCIAL SERVICES LIMITED

Quarter ended: 31st December 2014

Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered Shares		Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares	As a % of Grand Total (A)+(B)+(C)	Number	As a % of Grand Total (A)+(B)+(C) of Sub-Clause (I)(a)	Number of Warrants held	As a % of Total No. of Warrants of the same Class	Number of Convertible Securities held	As a % of Total No. of Convertible Securities of the same Class	
(I)	(II)	(III)	(IV)	(V)	(VI) = (V) / (III) * 100	(VII)	(XI)	(X)	(XI)	(XII)
1	Vijay Poddar	4,062,500	2.79%	-	-	-	-	-	-	2.79%
	TOTAL	4,062,500	2.79%	-	-	-	-	-	-	2.79%

Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares.

Sr. No.	Name of the shareholder	As a % of Grand Total (A)+(B)+(C)		Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares	As a % of Grand Total (A)+(B)+(C)	Number of Warrants held	As a % of Total No. of Warrants of the same Class	Number of Convertible Securities held	As a % of Total No. of Convertible Securities of the same Class	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
1	Goodshine Dealtrade Pvt. Ltd.	8,256,800	5.68%	-	-	-	-	5.68%
2	Sankatsath Agencies Private Limited	6,926,075	4.75%	-	-	-	-	4.75%
3	Overload Financial Advisory Pvt. Ltd.	6,292,350	4.33%	-	-	-	-	4.33%
4	Looklike Trade Private Limited	5,693,625	3.92%	-	-	-	-	3.92%
5	Everstrong Vincom Private Limited	5,419,825	3.73%	-	-	-	-	3.73%
6	Swadhirata Vintrade Private Limited	5,402,175	3.71%	-	-	-	-	3.71%
7	Diganta Technology Private Limited	5,000,800	3.44%	-	-	-	-	3.44%
8	Cere Commodities Private Limited	4,481,550	3.08%	-	-	-	-	3.08%
9	Dakota Vintrade Private Limited	3,776,175	2.60%	-	-	-	-	2.60%
10	Greenrow Trading Private Limited	3,756,925	2.58%	-	-	-	-	2.58%
11	Katyani Commodities Private Limited	3,731,575	2.57%	-	-	-	-	2.57%
12	Ayyan Commercial Private Limited	3,466,100	2.38%	-	-	-	-	2.38%
13	Saiwet Tradelink Private Limited	3,362,025	2.31%	-	-	-	-	2.31%
14	Goodpoint Impex Private Limited	3,288,265	2.26%	-	-	-	-	2.26%
15	Helot Properties Private Limited	2,936,950	2.02%	-	-	-	-	2.02%
16	Shivsathi Mercantile Private Limited	2,740,025	1.88%	-	-	-	-	1.88%
17	Snowhill Dealtrade Private Limited	2,696,625	1.85%	-	-	-	-	1.85%

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	Number of shares	As a % of Grand Total (A) + (B) + (C)	Number of Warrants held	As a % of Total No. of Warrants of the same Class	Number of Convertible Securities held	As a % of Total No. of Warrants of the same Class	shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
18	2,618,875	1.80%	-	-	-	-	1.80%
19	2,615,625	1.80%	-	-	-	-	1.80%
20	2,566,950	1.77%	-	-	-	-	1.77%
21	2,530,775	1.74%	-	-	-	-	1.74%
22	2,500,000	1.72%	-	-	-	-	1.72%
23	2,479,200	1.70%	-	-	-	-	1.70%
24	2,261,675	1.56%	-	-	-	-	1.56%
25	1,871,725	1.29%	-	-	-	-	1.29%
26	1,572,025	1.08%	-	-	-	-	1.08%
27	1,501,600	1.03%	-	-	-	-	1.03%
	99,796,315	68.58%	-	-	-	-	68.58%

(D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Total Shares Held		Details of Warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares	As a % of Grand Total (A) + (B) + (C)	Number of Warrants held	As a % of Total No. of Warrants of the same Class	Number of Convertible Securities held	As a % of Total No. of Warrants of the same Class	
1	Goodshine Dealtrade Pvt. Ltd	8,256,800	5.68%	-	-	-	-	5.68%



Name of the Company:	NCL RESEARCH & FINANCIAL SERVICES LIMITED		
Scrip Code: 530557	Quarter ended:	31st December 2014	

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Promoter / Promoter Group / Public
1	Not Any		0.000%	N.A.
TOTAL			0.000%	

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				
2				
TOTAL				

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				
2				
TOTAL				

