



# NCL RESEARCH & FINANCIAL SERVICES LTD.

CIN no.: L65921MH1985PLC284739

Registered Office : 3rd Floor, Bhagyodaya Building, 79 Nagindas Master Road, Fort, Mumbai - 400023, Maharashtra  
Tel/fax: 022-22703249 E-mail : ncl.research@gmail.com Website :- www.nclfin.com

December 21, 2020

The Deputy Manager  
Department of Corporate Services  
BSE Limited  
P. J. Towers, Dalal Street,  
Fort Mumbai - 400 001

Respected Sir or Madam,

**Ref: Scrip Code 530557**

**Sub: Disclosure of Voting Result of 35<sup>th</sup> Annual General Meeting of the Company**

With reference to the above and pursuant to the format prescribed under Regulation 44(3) of the SEBI (LODR) Regulations, 2015, we are enclosing herewith the details of the voting result of the 35<sup>th</sup> Annual General Meeting of the Company held on December 19, 2020 at the 10:00 AM and concluded as on December 19, 2020 at 11:00 AM.

Kindly take the same on your records & oblige.

Thanking You,  
Yours Faithfully,  
For **NCL Research & Financial Services Limited**

**Goutam Bose**  
**Managing Director**  
**DIN: 02504803**

# NCL RESEARCH & FINANCIAL SERVICES LIMITED

## Format of Voting Result

Date of AGM	December 19, 2020
Total number of shareholders on record date( i.e. December 12, 2020 - cut-off date for voting purpose):	1689
No. of shareholders present in the meeting either in person or through proxy:	
Promoters and Promoter Group:	1
Public:	21
No. of Shareholders attended the meeting through Video Conferencing:	
Promoters and Promoter Group:	0
Public:	0
No. of resolution passed in the meeting	3



## Agenda Wise Voting Details

<b>Resolution No. 1</b>			<b>Adoption of Audited the financial year ended March 31, 2020 along with the reports of the Board of Directors and the Auditors thereon</b>					
<b>Type of Resolutions</b>			<b>Ordinary</b>					
<b>Whether promoter/ promoter group are interested in the agenda/resolution?</b>			<b>NO</b>					
<b>Category</b>	<b>Mode of Voting</b>	<b>No. of Shares Held</b>	<b>No. of Vote Polled</b>	<b>% of Votes Polled on outstanding Shares</b>	<b>No. of Votes in Favour</b>	<b>No. of Votes Against</b>	<b>% of votes in favour on votes polled</b>	<b>% of votes against on votes polled</b>
<b>Promoter &amp; Promoter Group</b>	Remote E-Voting	4062500	0	0	0	0	0	0
	Physical Ballot		4062500	100	4062500	0	100	0
	<b>Total</b>	<b>4062500</b>	<b>4062500</b>	<b>100</b>	<b>4062500</b>	<b>0</b>	<b>100</b>	<b>0</b>
<b>Public - Institutional Holder</b>	Remote E-Voting	1000	0	0	0	0	0	0
	Physical Ballot		0	0	0	0	0	0
	<b>Total</b>	<b>1000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Public - Others</b>	Remote E-Voting	141361500	8744920	6.18	8724920	20000	99.77	0.22
	Physical Ballot		36931038	26.12	36931038	0	100	0
	<b>Total</b>	<b>141361500</b>	<b>45675958</b>	<b>32.31</b>	<b>45655958</b>	<b>20000</b>	<b>99.95</b>	<b>0.04</b>
<b>TOTAL</b>		<b>145425000</b>	<b>49738458</b>	<b>34.21</b>	<b>49718458</b>	<b>20000</b>	<b>99.95</b>	<b>0.04</b>
<b>Whether resolution is Pass or Not</b>							<b>YES</b>	



Resolution No. 2			Re-Appointment of M/s DBS & Associates as Statutory Auditor for a second term of 5 years					
Type of Resolutions			Special					
Whether promoter/ promoter group are interested in the agenda/resolution?			No					
Category	Mode of Voting	No. of Shares Held	No. of Vote Polled	% of Votes Polled on outstanding Shares	No. of Votes in Favour	No. of Votes Against	% of votes in favour on votes polled	% of votes against on votes polled
Promoter & Promoter Group	Remote E-Voting	4062500	0	0	0	0	0	0
	Physical Ballot		4062500	100	4062500	0	100	0
	<b>Total</b>		<b>4062500</b>	<b>4062500</b>	<b>100</b>	<b>4062500</b>	<b>0</b>	<b>100</b>
Public - Institutional Holder	Remote E-Voting	1000	0	0	0	0	0	0
	Physical Ballot		0	0	0	0	0	0
	<b>Total</b>		<b>100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
Public - Others	Remote E-Voting	141361500	8744920	6.18	8724920	20000	99.77	0.22
	Physical Ballot		36931038	26.12	36931038	0	100	0
	<b>Total</b>		<b>141361500</b>	<b>45675958</b>	<b>32.31</b>	<b>45655958</b>	<b>20000</b>	<b>99.95</b>
<b>TOTAL</b>		<b>145425000</b>	<b>49738458</b>	<b>34.21</b>	<b>49718458</b>	<b>20000</b>	<b>99.95</b>	<b>0.04</b>
<b>Whether resolution is Pass or Not</b>							<b>YES</b>	



<b>Resolution No. 3</b>			<b>Re-Appointment of Mrs. Puspa Devi Saraswat (DIN: 05165143) as Independent Director for the period of 5 years.</b>					
<b>Type of Resolutions</b>			<b>Special</b>					
<b>Whether promoter/ promoter group are interested in the agenda/resolution?</b>			<b>NO</b>					
<b>Category</b>	<b>Mode of Voting</b>	<b>No. of Shares Held</b>	<b>No. of Vote Polled</b>	<b>% of Votes Polled on outstanding Shares</b>	<b>No. of Votes in Favour</b>	<b>No. of Votes Against</b>	<b>% of votes in favour on votes polled</b>	<b>% of votes against on votes polled</b>
<b>Promoter &amp; Promoter Group</b>	<b>Remote E-Voting</b>	<b>4062500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Physical Ballot</b>		<b>4062500</b>	<b>100</b>	<b>4062500</b>	<b>0</b>	<b>100</b>	<b>0</b>
	<b>Total</b>	<b>4062500</b>	<b>4062500</b>	<b>100</b>	<b>4062500</b>	<b>0</b>	<b>100</b>	<b>0</b>
<b>Public - Institutional Holder</b>	<b>Remote E-Voting</b>	<b>1000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Physical Ballot</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total</b>	<b>1000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Public - Others</b>	<b>Remote E-Voting</b>	<b>141361500</b>	<b>8744920</b>	<b>6.18</b>	<b>8724920</b>	<b>20000</b>	<b>99.77</b>	<b>0.22</b>
	<b>Physical Ballot</b>		<b>36931038</b>	<b>26.12</b>	<b>36931038</b>	<b>20000</b>	<b>100</b>	<b>0</b>
	<b>Total</b>	<b>141361500</b>	<b>45675958</b>	<b>32.31</b>	<b>45655958</b>	<b>20000</b>	<b>99.95</b>	<b>0.04</b>
<b>TOTAL</b>		<b>145425000</b>	<b>49738458</b>	<b>34.20</b>	<b>49718458</b>	<b>20000</b>	<b>99.95</b>	<b>0.04</b>
<b>Whether resolution is Pass or Not</b>							<b>YES</b>	

