

NCL RESEARCH & FINANCIAL SERVICES LIMITED

Registered Office : 79, Nagindas Master Road, 3rd Floor, Fort, Mumbai-400 023

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Statement of Un-Audited Standalone Financial Results for the Quarter and Nine Months ended 31st December 2021

₹ in Lakhs

Sr. No.	Particulars	3 Months ended	Preceding 3	Corresponding 3	Nine Months	Corresponding	Year to date
		31.12.2021	Months ended	Months ended	ended	Nine Months	figures as on
		Un-Audited	30.09.2021	31.12.2020	31.12.2021	31.12.2020	31.03.2021
			Un-Audited	Un-Audited	Un-Audited	Un-Audited	Audited
I	Revenue from Operations						
	Interest Income	50.742	32.271	49.203	115.819	149.166	151.781
	Dividend Income	-	-	-	-	-	-
	Revenue from Sale of Shares	0.549	-	11.061	3.406	12.308	32.807
	Net Gain on Fair Value of Changes	-	-	-	-	-	-
	Other Income	-	-	-	-	-	-
	Total Revenue from Operations	51.291	32.271	60.264	119.225	161.474	184.588
II	Other Income						
	Others (Rental Income)	0.300	8.500	0.300	9.100	0.900	1.200
III	Total Income (I+II)	51.591	40.771	60.564	128.325	162.374	185.788
IV	Expenses						
	Finance Costs	-	-	-	-	-	-
	Fees & Commission Expenses	-	10.000	13.668	15.000	13.668	-
	Net loss on Fair Value Changes	-	-	-	-	-	-
	Impairment on Financial Instruments	-	-	-	-	-	-
	Cost of Material Consumed	-	-	-	-	-	-
	Purchases of Stock-in-trade	-	1.989	-	1.989	0.915	0.916
	Changes in Inventories of finished goods, stock-in-trade and work-in-progress	(31.354)	(1.812)	(24.318)	(28.470)	(12.163)	34.340
	Employee Benefits Expenses	7.527	7.496	31.822	23.583	61.976	72.171
	Depreciation, Amortization and Impairment	1.315	2.162	-	3.477	-	-
	Other Expenses	53.492	5.085	15.142	66.123	33.882	76.847
	Total Expenses (IV)	30.980	24.920	36.315	81.702	98.279	184.274
V	Profit / (Loss) before Tax & Exceptional Items (III-IV)	20.611	15.851	24.249	46.623	64.095	1.515
VI	Exceptional Items	-	-	-	-	-	-
VII	Profit / (Loss) before Tax (V-VI)	20.611	15.851	24.249	46.623	64.095	1.515
VIII	Tax Expenses						
	Current	5.359	4.379	6.305	12.380	16.665	0.397
	Deferred Tax	-	(0.248)	-	(0.248)	-	0.008
	Total Tax Expenses (VIII)	5.359	4.131	6.305	12.132	16.665	0.405
IX	Profit for the Period / Year from continuing operations (VII-VIII)	15.252	11.720	17.944	34.491	47.430	1.110
X	Profit/(Loss) from Discontinued Operations	-	-	-	-	-	-
XI	Tax Expenses Discontinued Operations	-	-	-	-	-	-
XII	Profit for the Period / Year from continuing operations (X-XI)	-	-	-	-	-	-
XIII	Profit for the Period (IX-XII)	15.252	11.720	17.944	34.491	47.430	1.110
XIV	Other Comprehensive Income						
	Fair value changes on Instruments carried at FVTOCI						
	A. Items that will not be classified to Profit or Loss						
	i) Fair value changes on instruments carried at FVTOCI	-	-	-	-	-	-
	ii) Income Tax on above	-	-	-	-	-	-
	Sub-Total A	-	-	-	-	-	-
	B. i) Items that will be classified to Profit or Loss	-	-	-	-	-	-
	ii) Income Tax relating to Items that will be reclassified to Profit or Loss	-	-	-	-	-	-
	Sub-Total B	-	-	-	-	-	-
	Other Comprehensive Income (A+B)	-	-	-	-	-	-
XV	Total Comprehensive Income for the Period (XIII+XIV) (Comprising Profit (Loss) and Other Comprehensive Income for the Period)	15.252	11.720	17.944	34.491	47.430	1.110
XVI	Paid-up Equity Share Capital	5,817.000	2,908.500	2,908.500	5,817.000	2,908.500	2,908.500
	Face Value of Equity Shares	1.00	2.00	2.00	1.00	2.00	2.00
XVII	Other Equity	-	-	-	407.404	-	3,281.413
XVIII	Earnings per Share from Continuing Operations						
	a) Basic	0.003	0.008	0.012	0.006	0.033	0.001
	b) Diluted	0.003	0.008	0.012	0.006	0.033	0.001
XIX	Earnings per Share from Discontinued Operations						
	a) Basic	-	-	-	-	-	-
	b) Diluted	-	-	-	-	-	-
XX	Earnings per Share from Continuing & Discontinued Operations						
	a) Basic	0.003	0.008	0.012	0.006	0.033	0.001
	b) Diluted	0.003	0.008	0.012	0.006	0.033	0.001

Notes :

- As per Indian Accounting Standard (IndAS) 108 "Operating Segment", the Company's business falls within a single business segment viz. "Finance & Investments" and thus Segmental Report for the Quarter is not applicable to the Company.
- The aforesaid financial Results have been reviewed by Audit Committee and approved by Board of Directors in their meeting held on 12th February 2022.
- Being into the business of Finance & Investments, the operations of the Company were not much impacted due to the spread of COVID pandemic in the Country/World.
- These results have been prepared in accordance with the Indian Accounting Standards (referred to as "Ind-AS") 34 Interim Financial Reporting prescribed under Section 133 of the Companies Act, 2013 read with Companies (Indian Accounting Standards) Rules as amended from time to time.
- The format of above results has been prescribed by SEBI vide their Circular No.CIR/CFD/CMD/IS/2015 dated 30th November, 2015 has been modified to comply with requirement of the SEBI Circular dated 5th July, 2016, Ind AS and in accordance with NBFC (Division III) format of Companies Act, 2013 (Refer MCA Notification dated October 11, 2018) applicable to the Companies those are required to comply with Ind AS.
- There was sub-division in Face Value of Equity Shares w.e.f. 3rd December 2021 and the Face Value of Equity Shares have been sub-divided from Face Value of Rs. 2/- per Equity Shares to Face Value of Rs. 1/- per Equity Shares.
- The Company has issued Bonus Equity Shares in the ratio of 1:1 to its' Shareholders on record date of 6th December 2021.
- The Auditors of the Company have carried out "Limited Review" of the above financial Results.
- Figures for previous quarter/year have been re-stated/re-classified wherever necessary.

For NCL Research & Financial Services Limited

Sd/-

Goutam Bose
Managing Director

Place : Mumbai

Date : February 12, 2022