

General information about company	
Scrip code	530557
NSE Symbol	
MSEI Symbol	
ISIN	INE132F01020
Name of the company	NCL RESEARCH & FINANCIAL SERVICES LTD
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	4062500			4062500	2.79	4062500		4062500	2.79
(B)	Public	1533	141362500			141362500	97.21	141362500		141362500	97.21
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	1534	145425000			145425000	100	145425000		145425000	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				2.79					4062500
(B)	Public				97.21					126900275
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					130962775

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	1	4062500			4062500	2.79	4062500		4062500	2.79
Sub-Total (A)(1)		1	4062500			4062500	2.79	4062500		4062500	2.79
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	4062500			4062500	2.79	4062500		4062500	2.79
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1346	3316458			3316458	2.28	3316458		3316458	2.28
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	22	8994265			8994265	6.18	8994265		8994265	6.18
(e)	Any Other (specify)	165	129051777			129051777	88.74	129051777		129051777	88.74
Sub-Total (B)(3)		1533	141362500			141362500	97.21	141362500		141362500	97.21
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1533	141362500			141362500	97.21	141362500		141362500	97.21
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		1534	145425000			145425000	100	145425000		145425000	100
Total (A+B+C)		1534	145425000			145425000	100	145425000		145425000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				2.79					4062500
Sub-Total (A) (1)				2.79					4062500
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				2.79					4062500
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))				2.28					3233558
(a(ii))				6.18					7639265
(e)				88.74					116027452
Sub-Total (B) (3)				97.21					126900275
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				97.21					126900275
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					130962775
Total (A+B+C)				100					130962775

Individuals/Hindu undivided Family		
Searial No.	1	
Name of the Shareholders (I)	Vijay Jaideo Poddar	Click here to go back
PAN (II)	AACPP8853C	Total
No. of fully paid up equity shares held (IV)	4062500	4062500
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4062500	4062500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.79	2.79
Number of Voting Rights held in each class of securities (IX)		
Class eg:X	4062500	4062500
Class eg:y		
Total	4062500	4062500
Total as a % of Total Voting rights	2.79	2.79
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	2.79	2.79
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4062500	4062500
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		
Serial No.	1	
Name of the Shareholders (I)	Chhaya Ashok Agrawal	Click here to go back
PAN (II)	AHMPA0421C	Total
No. of fully paid up equity shares held (IV)	1731250	1731250
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1731250	1731250
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.19	1.19
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1731250	1731250
Class eg:y		
Total	1731250	1731250
Total as a % of Total Voting rights	1.19	1.19
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.19	1.19
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1731250	1731250
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	OTL Minerals Private Limited	Rangan Vincom Private Limited	Petunia Trades Private Limited	Ayaan Commercial Private Limited	Lifewood Tieup Private Limited	Joyguru Commotrade Pvt. Ltd.	Fair Deal Equiresearch And Realtors Priv Limited
PAN (II)	AABCO8431B	AAGCR1715E	AAGCP4719E	AAKCA4354Q	AACCL2349A	AACCI1455B	AABCF2782J
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	1485855	1501600	1572025	1675000	1871725	2261675	2500000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1485855	1501600	1572025	1675000	1871725	2261675	2500000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.02	1.03	1.08	1.15	1.29	1.56	1.72
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1485855	1501600	1572025	1675000	1871725	2261675	2500000
Class eg:y							
Total	1485855	1501600	1572025	1675000	1871725	2261675	2500000
Total as a % of Total Voting rights	1.02	1.03	1.08	1.15	1.29	1.56	1.72
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.02	1.03	1.08	1.15	1.29	1.56	1.72
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1485855	1501600	1572025	0	1497380	2261675	2500000
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)							
Searial No.	8	9	10	11	12	13	14
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	Reachsmart Construction Private Limited	Victor Commodeal Private Limited	Sprint Vanijya Private Limited	Rockers Tradelink Private Limited	Snowhill Deatrade Private Limited	Helot Properties Private Limited	Tanaya Vincom Private Limited
PAN (II)	AAGCR4662J	AADCV5991P	AALCS9394K	AAECR2957A	AARCS1012L	AACCH8885R	AADCT8361K
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	2530775	2566950	2615625	2618875	2696625	2936950	3000000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2530775	2566950	2615625	2618875	2696625	2936950	3000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.74	1.77	1.8	1.8	1.85	2.02	2.06
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	2530775	2566950	2615625	2618875	2696625	2936950	3000000
Class eg:y							
Total	2530775	2566950	2615625	2618875	2696625	2936950	3000000
Total as a % of Total Voting rights	1.74	1.77	1.8	1.8	1.85	2.02	2.06
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.74	1.77	1.8	1.8	1.85	2.02	2.06
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2530775	2566950	2615625	2618875	2696625	2936950	3000000
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)							
Searial No.	15	16	17	18	19	20	21
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	Shreehari Vinimay Private Limited	Katyani Commodities Private Limited	Core Commodities Private Limited	Shivmani Vinimay Private Limited	Swadhinata Vintrade Private Limited	Looklike Trade Private Limited	Everstrong Vincom Pvt Ltd
PAN (II)	AAJCS5620A	AAECK6244R	AAECC2931M	AASCS7406D	AARCS2310P	AACCL2013K	AACCE9957B
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	3204200	3731575	4481550	4883275	5402175	5693625	5860414
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3204200	3731575	4481550	4883275	5402175	5693625	5860414
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.2	2.57	3.08	3.36	3.71	3.92	4.03
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	3204200	3731575	4481550	4883275	5402175	5693625	5860414
Class eg:y							
Total	3204200	3731575	4481550	4883275	5402175	5693625	5860414
Total as a % of Total Voting rights	2.2	2.57	3.08	3.36	3.71	3.92	4.03
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	2.2	2.57	3.08	3.36	3.71	3.92	4.03
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	3204200	3731575	231550	4883275	5402175	5693625	5860414
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)							
Searial No.	22	23	24	25	26	27	28
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Non-Resident Indian (NRI)
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	Category	Category
Name of the Shareholders (I)	Arya Securities Pvt Ltd	Overload Financial Advisory Private Limited	Aryadeep Infrahomes Private Limited	Sankatsathi Agencies Private Limited	Goodshine Dealtrade Private Limited		
PAN (II)	AAECA6993K	AABCO6950F	AAKCA4731F	AARCS2968M	AAECG6112A		
No. of the Shareholders (I)	1	1	1	1	1	129	10
No. of fully paid up equity shares held (IV)	6028290	6292350	6895375	6906075	8256800	29565326	17067
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	6028290	6292350	6895375	6906075	8256800	29565326	17067
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.15	4.33	4.74	4.75	5.68	20.33	0.01
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	6028290	6292350	6895375	6906075	8256800	29565326	17067
Class eg:y							
Total	6028290	6292350	6895375	6906075	8256800	29565326	17067
Total as a % of Total Voting rights	4.15	4.33	4.74	4.75	5.68	20.33	0.01
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	4.15	4.33	4.74	4.75	5.68	20.33	0.01
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	6028290	92350	6895375	6906075	8256800	29040346	17067
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)	
Searial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	139
No. of fully paid up equity shares held (IV)	29582393
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	29582393
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	20.34
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	29582393
Class eg:y	
Total	29582393
Total as a % of Total Voting rights	20.34
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	20.34
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	29057413
Reason for not providing PAN	
Reason for not providing PAN	

