General information about con	npany
Scrip code	530557
NSE Symbol	
MSEI Symbol	
ISIN	INE132F01020
Name of the company	NCL RESEARCH & FINANCIAL SERVICES LTD
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

	Dec	claratio	n		
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

	Table I - Summary Statement holding of specified securities													
		Category of Nos. Of hareholder shareholders	No. of I fully paid I up equity U	No. Of Partly	No. Of shares	Total nos.	Shareholding as a % of total no. of shares (calculated as per SCRR,	Number of Voting Rights held in each class of securities (IX)						
Category (I)	shareholder			paid- up equity	underlying Depository	shares held (VII) =		No of Voting (XIV) Rights			Total as a			
		(IV)	shares held (V)	Receipts (VI)	(IV)+(V)+ (VI)	1957) (VIII) As a % of (A+B+C2)	Class eg: X	Class eg:y	Total	% of (A+B+C)				
(A)	Promoter & Promoter Group	1	4062500			4062500	2.79	4062500		4062500	2.79			
(B)	Public	1362	141362500			141362500	97.21	141362500		141362500	97.21			
(C)	Non Promoter- Non Public													
(C1)	Shares underlying DRs													
(C2)	Shares held by Employee Trusts													
	Total	1363	145425000			145425000	100	145425000		145425000	100			

	Table I - Summary Statement holding of specified securities												
Category sha	(II) Outstandi convertib	ry of Ider Underlying	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in			
		convertible securities (X)				No. (a)	As a % of total Shares held (b)		As a % of total Shares held (b)	dematerialized form (XIV)			
(A)	Promoter & Promoter Group				2.79					4062500			
(B)	Public				97.21					126900275			
(C)	Non Promoter- Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					130962775			

	Table II - Sta	atement sho	owing sha	rehold	ing patter	n of the P	romoter an	d Promote	er Gr	oup	
			No. of fully	No. Of Partly	No. Of shares	Total nos.	Shareholding as a % of total no. of shares	Number of V class of secu		Rights held in X)	each
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	paid up equity shares held	paid- up equity	underlying Depository	shares held (VII) = (IV)+(V)+	(calculated as per	No of Voting (XIV) Rights			Total as a %
	()		(IV)	shares held (V)	Receipts (VI)	(VI)	SCRR, 1957) (VIII) As a % of (A+B+C2)	Class eg: X	Class eg:y	Total	of Total Votin rights
А	Table II - Statemen	nt showing shar	eholding patte	ern of th	e Promoter ai	nd Promoter (Group				
(1)	Indian										
(a)	Individuals/Hindu undivided Family	1	4062500			4062500	2.79	4062500		4062500	2.79
Sub-Total (A)(1)		1	4062500			4062500	2.79	4062500		4062500	2.79
(2)	Foreign										
Total Shareholding of Promoter and Promoter (A)=(A)(1)+(A) (2)		1	4062500			4062500	2.79	4062500		4062500	2.79
В	Table III - Stateme	nt showing sha	reholding pat	tern of th	he Public sha	eholder					
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1156	2874427			2874427	1.98	2874427		2874427	1.98
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	22	8994265			8994265	6.18	8994265		8994265	6.18
(e)	Any Other (specify)	184	129493808			129493808	89.05	129493808		129493808	89.05
Sub-Total (B)(3)		1362	141362500			141362500	97.21	141362500		141362500	97.21
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)		1362	141362500			141362500	97.21	141362500		141362500	97.21
С	Table IV - Stateme	nt showing sha	reholding pat	tern of tl	he Non Prom	oter- Non Puł	olic shareholder				
Total (A+B+C2)		1363	145425000			145425000	100	145425000		145425000	100
Total (A+B+C)		1363	145425000			145425000	100	145425000		145425000	100

Tal	ble II - Stater	nent showing	g shareholding	g pattern of the Pro	omo	ter and	Pron	noter Gr	oup		
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	g Underlying	No. Of Shares Underlying Outstanding convertible securities and	Shareholding , as a % assuming full conversion of convertible securities (as a	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in		
		Warrants (Xi)	No. Of Warrants (Xi) (a)	percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	dematerialized form (XIV)		
А	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)				2.79					4062500		
Sub-Total (A) (1)				2.79					4062500		
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				2.79					4062500		
В	Table III - State	ement showing s	hareholding patte	ern of the Public shareho	older						
(1)	Institutions										
(3)	Non-institution	s									
(a(i))				1.98					2791527		
(a(ii))				6.18					7639265		
(e)				89.05					116469483		
Sub-Total (B) (3)				97.21					126900275		
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				97.21					126900275		
С	Table IV - State	ement showing s	shareholding patte	ern of the Non Promoter	- Nor	Public sl	hareho	older			
Total (A+B+C2)				100					130962775		
Total (A+B+C)				100					130962775		

	Individuals/Hindu undiv	ided Family
Searial No.	1	
Name of the Shareholders (I)	Vijay Jaideo Poddar	Click here to go back
PAN (II)	AACPP8853C	Total
No. of fully paid up equity shares held (IV)	4062500	4062500
No. Of Partly paid- up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4062500	4062500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.79	2.79
Number of Voting	Rights held in each class of securities (IX)	
Class eg:X	4062500	4062500
Class eg:y		
Total	4062500	4062500
Total as a % of Total Voting rights	2.79	2.79
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	2.79	2.79
Number of Locked	in shares (XII)	
No. (a)		
As a % of total Shares held (b)		
Number of Shares	pledged or otherwise encumbered (XIII)	
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4062500	4062500
Reason for not prov	viding PAN	
Reason for not providing PAN		

Individ	uals - ii. Individual shareholders holding nominal share	capital in excess of Rs. 2 lakhs.
Searial No.	1	
Name of the Shareholders (I)	CHAYA ASHOK AGRAWAL	Click here to go back
PAN (II)	AHMPA0421C	Total
No. of fully paid up equity shares held (IV)	1731250	1731250
No. Of Partly paid- up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1731250	1731250
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.19	1.19
Number of Voting	Rights held in each class of securities (IX)	•
Class eg: X	1731250	1731250
Class eg:y		
Total	1731250	1731250
Total as a % of Total Voting rights	1.19	1.19
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.19	1.19
Number of Locked	in shares (XII)	
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1731250	1731250
Reason for not pro	viding PAN	
Reason for not providing PAN		

	Any Other (specify)												
Searial No.	1	2	3	4	5	6	7						
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate						
Category / More than 1 percentage	More than 1 percentage of shareholding												
Name of the Shareholders (I)	RANGAN VINCOM PRIVATE LIMITED	PETUNIA TRADES PRIVATE LIMITED	GCM CAPITAL ADVISORS LIMITED	AYAAN COMMERCIAL PRIVATE LIMITED	LIFEWOOD TIEUP PRIVATE LIMITED	JOYGURU COMMOTRADE PVT. LTD.	FAIR DEAL EQUIRESEARCH AND REALTORS PRIV LIMITED						
PAN (II)	AAGCR1715E	AAGCP4719E	AAFCG1712P	AAKCA4354Q	AACCL2349A	AACCJ1455B	AABCF2782J						
No. of the Shareholders (I)	1	1	1	1	1	1	1						
No. of fully paid up equity shares held (IV)	1501600	1572025	1600000	1675000	1871725	2261675	2500000						
No. Of Partly paid-up equity shares held (V)													
No. Of shares underlying Depository Receipts (VI)													
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1501600	1572025	1600000	1675000	1871725	2261675	2500000						
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.03	1.08	1.1	1.15	1.29	1.56	1.72						
Number of Vo	ting Rights held i	n each class of s	ecurities (IX)										
Class eg: X	1501600	1572025	1600000	1675000	1871725	2261675	2500000						
Class eg:y													
Total	1501600	1572025	1600000	1675000	1871725	2261675	2500000						
Total as a % of Total Voting rights	1.03	1.08	1.1	1.15	1.29	1.56	1.72						
No. Of Shares Underlying Outstanding convertible securities (X)													
No. of Shares Underlying Outstanding Warrants (Xi)													
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)													
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of	1.03	1.08	1.1	1.15	1.29	1.56	1.72						
(A+B+C2)													

As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1501600	1572025	1600000	0	1497380	2261675	2500000
Reason for not	providing PAN						
Reason for not providing PAN							

Any Other (specify)											
Searial No.	8	9	10	11	12	13	14				
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate				
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding				
Name of the Shareholders (I)	REACHSMART CONSTRUCTION PRIVATE LIMITED	VICTOR COMMODEAL PRIVATE LIMITED	SPRINT VANIJYA PRIVATE LIMITED	ROCKERS TRADELINK PRIVATE LIMITED	SNOWHILL DEATRADE PRIVATE LIMITED	SHIVSATHI MERCANTILE PRIVATE LIMITED	HELOT PROPERTIES PRIVATE LIMITED				
PAN (II)	AAGCR4662J	AADCV5991P	AALCS9394K	AAECR2957A	AARCS1012L	AASCS6284R	AACCH8885				
No. of the Shareholders (I)	1	1	1	1	1	1	1				
No. of fully paid up equity shares held (IV)	2530775	2566950	2615625	2618875	2696625	2740025	2936950				
No. Of Partly paid-up equity shares held (V)											
No. Of shares underlying Depository Receipts (VI)											
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2530775	2566950	2615625	2618875	2696625	2740025	2936950				
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of	1.74	1.77	1.8	1.8	1.85	1.88	2.02				
(A+B+C2)	·	1 1									
Class eg: X	ting Rights held in each 2530775	2566950	2615625	2618875	2696625	2740025	2936950				
Class eg:y	2550115	2500750	2013023	2010075	2070025	2710025	2750750				
Total	2530775	2566950	2615625	2618875	2696625	2740025	2936950				
Total as a % of Total Voting rights	1.74	1.77	1.8	1.8	1.85	1.88	2.02				
No. Of Shares											
Underlying Outstanding convertible securities (X)											
Underlying Outstanding convertible											
Underlying Outstanding convertible securities (X) No. of Shares Underlying Outstanding Warrants (Xi) No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi)											
Underlying Outstanding convertible securities (X) No. of Shares Underlying Outstanding	1.74	1.77	1.8	1.8	1.85	1.88	2.02				
Underlying Outstanding convertible securities (X) No. of Shares Underlying Outstanding Warrants (Xi) No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.74 Eked in shares (XII)	1.77	1.8	1.8	1.85	1.88	2.02				

As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2530775	2566950	2615625	2618875	2696625	2740025	2936950
Reason for not	providing PAN			,			
Reason for not providing PAN							

	Any Other (specify)						
Searial No.	15	16	17	18	19	20	21
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding						
Name of the Shareholders (I)	TANAYA VINCOM PRIVATE LIMITED	SHREEHARI VINIMAY PRIVATE LIMITED	GOODPOINT IMPEX PRIVATE LIMITED	KATYANI COMMODITIES PRIVATE LIMITED	CORE COMMODITIES PRIVATE LIMITED	SHIVMANI VINIMAY PRIVATE LIMITED	SWADHINATA VINTRADE PRIVATE LIMITED
PAN (II)	AADCT8361K	AAJCS5620A	AAFCG0606G	AAECK6244R	AAECC2931M	AASCS7406D	AARCS2310P
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	3000000	3204200	3288265	3731575	4481550	4883275	5402175
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3000000	3204200	3288265	3731575	4481550	4883275	5402175
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.06	2.2	2.26	2.57	3.08	3.36	3.71
Number of Vot	ing Rights held in	each class of se	curities (IX)				
Class eg: X	3000000	3204200	3288265	3731575	4481550	4883275	5402175
Class eg:y							
Total	3000000	3204200	3288265	3731575	4481550	4883275	5402175
Total as a % of Total Voting rights	2.06	2.2	2.26	2.57	3.08	3.36	3.71
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi)							
(a)							
(a) Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.06	2.2	2.26	2.57	3.08	3.36	3.71
Shareholding , as a % assuming full convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.06		2.26	2.57	3.08	3.36	3.71

As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	3000000	3204200	3288265	3731575	231550	4883275	5402175
Reason for not	providing PAN						
Reason for not providing PAN							

Any Other (specify)						
Searial No.	22	23	24	25	26	27
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	LOOKLIKE TRADE PRIVATE LIMITED	EVERSTRONG VINCOM PVT LTD	OVERLOAD FINANCIAL ADVISORY PRIVATE LIMITED	ARYADEEP INFRAHOMES PRIVATE LIMITED	SANKATSATHI AGENCIES PRIVATE LIMITED	GOODSHINE DEALTRADE PRIVATE LIMITED
PAN (II)	AACCL2013K	AACCE9957B	AABCO6950F	AAKCA4731F	AARCS2968M	AAECG6112A
No. of the Shareholders (I)	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	5693625	5860414	6292350	6895375	6906075	8256800
No. Of Partly paid- up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5693625	5860414	6292350	6895375	6906075	8256800
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.92	4.03	4.33	4.74	4.75	5.68
Number of Voting	Rights held in each	class of securities (IX)		•	
Class eg: X	5693625	5860414	6292350	6895375	6906075	8256800
Class eg:y						
Total	5693625	5860414	6292350	6895375	6906075	8256800
Total as a % of Total Voting rights	3.92	4.03	4.33	4.74	4.75	5.68
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)		4.03	4.33	4.74	4.75	5.68
Number of Locked	l in shares (XII)					
No. (a) As a % of total						
Shares held (b) Number of equity shares held in dematerialized form (XIV)	5693625	5860414	92350	6895375	6906075	8256800

Reason for not providing PAN						

	Any Other (specify)
Searial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	0
No. of fully paid up equity shares held (IV)	0
No. Of Partly paid- up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0
Number of Voting	Rights held in each class of securities (IX)
Class eg: X	0
Class eg:y	
Total	0
Total as a % of Total Voting rights	0
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0
Number of Locked	in shares (XII)
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	0
Reason for not prov	viding PAN
Reason for not providing PAN	

