

General information about company	
Scrip code	530557
Name of the company	NCL RESEARCH & FINANCIAL SERVICES LTD.
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	4062500			4062500	2.79	4062500		4062500	2.79
(B)	Public	628	141362500			141362500	97.21	141362500		141362500	97.21
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	629	145425000			145425000	100	145425000		145425000	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				2.79					4062500
(B)	Public				97.21					127000275
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					131062775

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	1	4062500			4062500	2.79	4062500		4062500	2.79
Sub-Total (A)(1)		1	4062500			4062500	2.79	4062500		4062500	2.79
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	4062500			4062500	2.79	4062500		4062500	2.79
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	443	1257621			1257621	0.86	1257621		1257621	0.86
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	22	8069775			8069775	5.55	8069775		8069775	5.55
(e)	Any Other (specify)	163	132035104			132035104	90.79	132035104		132035104	90.79
Sub-Total (B)(3)		628	141362500			141362500	97.21	141362500		141362500	97.21
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		628	141362500			141362500	97.21	141362500		141362500	97.21
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		629	145425000			145425000	100	145425000		145425000	100
Total (A+B+C)		629	145425000			145425000	100	145425000		145425000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				2.79					4062500
Sub-Total (A) (1)				2.79					4062500
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				2.79					4062500
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))				0.86					1224721
(a(ii))				5.55					6714775
(e)				90.79					119060779
Sub-Total (B) (3)				97.21					127000275
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				97.21					127000275
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					131062775
Total (A+B+C)				100					131062775

Individuals/Hindu undivided Family		
Serial No.	1	
Name of the Shareholders (I)	Vijay Jaideo Poddar	Click here to go back
PAN (II)	AACPP8853C	Total
No. of fully paid up equity shares held (IV)	4062500	4062500
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4062500	4062500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.79	2.79
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4062500	4062500
Class eg: y		
Total	4062500	4062500
Total as a % of Total Voting rights	2.79	2.79
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	2.79	2.79
Number of Locked in shares (XII)		
No. (a)		

As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4062500	4062500
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	RANGAN VINCOM PRIVATE LIMITED	PETUNIA TRADES PRIVATE LIMITED	LIFEWOOD TIEUP PRIVATE LIMITED	AYAAN COMMERCIAL PRIVATE LIMITED	JOYGURU COMMOTRADE PVT. LTD.	SHREEHARI VINIMAY PRIVATE LIMITED	FAIR DEAL EQUIRESEARCH AND REALTORS PRIVATE LTD
PAN (II)	AAGCR1715E	AAGCP4719E	AACCL2349A	AAKCA4354Q	AACCJ1455B	AAJCS5620A	AABCF2782J
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	1501600	1572025	1871725	2050000	2261675	2479200	2500000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1501600	1572025	1871725	2050000	2261675	2479200	2500000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.03	1.08	1.29	1.41	1.56	1.7	1.72
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1501600	1572025	1871725	2050000	2261675	2479200	2500000
Class eg:y							
Total	1501600	1572025	1871725	2050000	2261675	2479200	2500000
Total as a % of Total Voting rights	1.03	1.08	1.29	1.41	1.56	1.7	1.72
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying							

Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.03	1.08	1.29	1.41	1.56	1.7	1.72
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1501600	1572025	1497380	0	2261675	2479200	2500000
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)							
Serial No.	8	9	10	11	12	13	14
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	REACHSMART CONSTRUCTION PRIVATE LIMITED	VICTOR COMMODEAL PRIVATE LIMITED	SPRINT VANIJYA PRIVATE LIMITED	ROCKERS TRADELINK PRIVATE LIMITED	SNOWHILL DEATRADE PRIVATE LIMITED	SHIVSATHI MERCANTILE PRIVATE LIMITED	HELOT PROPERTIES PRIVATE LIMITED
PAN (II)	AAGCR4662J	AADCV5991P	AALCS9394K	AAECR2957A	AARCS1012L	AASCS6284R	AACCH8885R
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	2530775	2566950	2615625	2618875	2696625	2740025	2936950
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2530775	2566950	2615625	2618875	2696625	2740025	2936950
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.74	1.77	1.8	1.8	1.85	1.88	2.02
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	2530775	2566950	2615625	2618875	2696625	2740025	2936950
Class eg:y							
Total	2530775	2566950	2615625	2618875	2696625	2740025	2936950
Total as a % of Total Voting rights	1.74	1.77	1.8	1.8	1.85	1.88	2.02
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying							

Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.74	1.77	1.8	1.8	1.85	1.88	2.02
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2530775	2566950	2615625	2618875	2696625	2740025	2936950
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)							
Serial No.	15	16	17	18	19	20	21
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	GOODPOINT IMPEX PRIVATE LIMITED	SAIKAT TRADELINK PRIVATE LIMITED	KATYANI COMMODITIES PRIVATE LIMITED	CORE COMMODITIES PRIVATE LIMITED	POLPIK TRADERS PRIVATE LIMITED	VENERA PROPERTY PVT. LTD.	SWADHINATA VINTRADE PRIVATE LIMITED
PAN (II)	AAFCDG0606G	AALCS9387E	AAECK6244R	AAECC2931M	AAHCP7657G	AAFCV0236M	AARCS2310P
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	3288265	3362025	3731575	4481550	4518175	5192275	5402175
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3288265	3362025	3731575	4481550	4518175	5192275	5402175
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.26	2.31	2.57	3.08	3.11	3.57	3.71
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	3288265	3362025	3731575	4481550	4518175	5192275	5402175
Class eg:y							
Total	3288265	3362025	3731575	4481550	4518175	5192275	5402175
Total as a % of Total Voting rights	2.26	2.31	2.57	3.08	3.11	3.57	3.71
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying							

Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.26	2.31	2.57	3.08	3.11	3.57	3.71
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	3288265	3362025	3731575	231550	4518175	5192275	5402175
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)						
Serial No.	22	23	24	25	26	27
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	EVERSTRONG VINCOM PVT LTD	LOOKLIKE TRADE PRIVATE LIMITED	OVERLOAD FINANCIAL ADVISORY PRIVATE LIMITED	SANKATSATHI AGENCIES PRIVATE LIMITED	VENERA IT MARKETING PVT LTD	GOODSHINE DEALTRADE PRIVATE LIMITED
PAN (II)	AACCE9957B	AACCL2013K	AABCO6950F	AARCS2968M	AAFVC0237L	AAECG6112A
No. of the Shareholders (I)	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	5419825	5693625	6292350	6906075	7264250	8256800
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5419825	5693625	6292350	6906075	7264250	8256800
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.73	3.92	4.33	4.75	5	5.68
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	5419825	5693625	6292350	6906075	7264250	8256800
Class eg:y						
Total	5419825	5693625	6292350	6906075	7264250	8256800
Total as a % of Total Voting rights	3.73	3.92	4.33	4.75	5	5.68
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2)	3.73	3.92	4.33	4.75	5	5.68
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	5419825	5693625	92350	6906075	7264250	8256800
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)	
Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	0
No. of fully paid up equity shares held (IV)	0
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	0
Class eg:y	
Total	0
Total as a % of Total Voting rights	0
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of	0

diluted share capital (XI)= (VII) +(X) As a % of (A+B+C2)	
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	0
Reason for not providing PAN	
Reason for not providing PAN	

